

CITY OF IMPERIAL

**“2020 OVERLAY IMPROVEMENTS PROJECT AT THE INTERSECTION
OF ATEN BOULEVARD AND CLARK ROAD.”**

Bid No. 2020-09

ADDENDUM No. 2

07/17/2020

TO THE CONTRACT DOCUMENTS AND SPECIFICATIONS.

Bidders are advised that the contract documents and specifications for the above referenced contract are hereby amended in the following manner and the following manner only:

This Addendum answers bidder's questions.

1. Is there an engineer estimate for this job?

Answer: Yes, the opinion of probable project cost is **\$ 437,272.073**

2. Page 4 of your document indicates there is a 11% DBE Goal and project bids in 9 working days. Also, there are no other documents in reference to the DBE goal that needs to be completed and submitted after bidding this project. Will you be providing additional documents or will this requirement be removed from the bid??

Answer: Correct, DBE goal for this project is 11%.
Attached the following information:

- A. Chapter 9 - DBE Information Forms (Page 21 of 32) - For your reference.
- B. DBE Exhibit 9-E - Sample of Good Faith Efforts
- C. DBE Exhibit 15-G Construction Contract DBE Commitment
- D. Exhibit 17-F Final-Utilization DBE and First-Tier Subcontractors

3. Does the Project Improvement Plans include a slurry detail?

Answer: There is no slurry detail. However, the following information regarding to the Type II Slurry is included in the Project Improvement Plans:

- Sheet 1 – Legend – Item No. 6 - NEW SLURRY SEAL CAP (Hatch).
- Sheet 4 – Construction Note – No. 18 – APPLY A TYPE II SLURRY SEAL, 3/8” CAP.
- Sheet 4 – NEW SLURRY SEAL CAP HATCH.

The Type II Slurry Seal (3/8” Cap) is required to be applied over the existing & new Clark Road AC Pavement Section between STA. 9+00 to STA. 6+19.54. See attached Typical Cross Section Clark Road.

Please acknowledge receipt of this addendum by signing and returning a copy of the Acceptance of Notice by fax to (760) 355-4718. Each bidder must acknowledge receipt of this addendum in the noted space below and on the signature page of the Bid Sheet Proposal.

Include a copy of this addendum with your Bid Proposal Package. It is the Contractor's responsibility to notify its sub-contractors about changes based all addendums.



By: Debra Jackson, City Clerk

Date: 07/17/2020

ACCEPTANCE OF NOTICE

Receipt of the ADDENDUM No. 1 is hereby acknowledged by

Bidder's Company Name

Date: _____

By _____
Type or Print Name & Signature

Title: _____

Local Agency Bidder or Proposer DBE Commitment and DBE Information Forms

On construction contracts, the [Exhibit 15-G: Construction Contract DBE Commitment](#) must be provided by each bidder and submitted no later than 4pm on the 5th day after bid opening. On consultant contracts, [Exhibit 10-O1: Consultant Proposal DBE Commitment](#) must be included in each consultant's proposal and the [Exhibit 10-O2: Consultant Contract DBE Commitment](#) form must be included in best qualified consultant's executed consultant contract. [Exhibits 15-G](#), [Exhibit 10-O1](#) and [Exhibit 10-O2](#) must include the names, addresses and phone numbers of DBE firms that will participate, and a complete description of work or supplies to be provided by each. [Exhibits 15-G](#) and [Exhibit 10-O2](#) must also include the dollar value of each DBE work item or service to be performed ([Exhibit 10-O1](#) will not have the dollar values since they are not known prior to consultant contract negotiation).

When 100% of a contract item of work is not to be performed or furnished by a DBE, a description of the exact portion of that work to be performed or furnished by that DBE should be included in the DBE information, including the planned location of that work. A bidder certified as a DBE should describe the work it has committed to perform with its own forces, as well as any other work that it has committed to be performed by DBE subcontractors, suppliers, and trucking companies. The bidder or proposer is required to provide written confirmation from each DBE participating in the contract. A copy of a DBE's quote will serve as written confirmation that the DBE is participating in the contract. If a DBE is participating as a joint venture partner, the bidder or proposer is encouraged to submit a copy of the joint venture agreement. [Exhibit 15-G](#) or [Exhibit 10-O2](#) shall be included in the construction or consultant contract whether or not there is a DBE goal on the contract. The local agency shall submit this form to the DLAE within 30 days of contract execution for timely reporting. The purpose of these forms is to capture all DBE [proposed](#) participation, or in instances when there is no DBE contract goal, DBE [proposed](#) participation acquired through normal contracting procedures as required under 49 CFR 26.

Final Report

Upon completion of the construction or consultant contract, regardless of whether DBE participation was obtained, a summary of the DBE records shall be prepared, certified correct, and submitted on the [Exhibit 17-F: Final Report-Utilization of DBE and First-Tier Subcontractors](#), or equivalent by the contractor to the local agency showing total dollars paid to each subcontractor and supplier whether DBE or non-DBE. [Exhibit 17-F](#) is reviewed by the local agency and certified as complete and accurate. The information in this report is required by the DBE Program and the FHWA to demonstrate DBE participation on local agency projects.

The local agency must send one copy of the completed [Exhibit 17-F](#) to the DLAE as part of its [Final Report of Expenditure package](#) before final payment (see [LAPM Chapter 17: Project Completion](#)).

Counting DBE Participation

Actual payment to subcontractors that are certified DBEs performing a commercially useful function will be counted as DBE participation. If the prime contractor is a qualified DBE, his/her work is reported and counted.

EXHIBIT 9-E SAMPLE EVALUATION OF GOOD FAITH EFFORTS**M e m o r a n d u m****To: PROJECT FILE****Date:**

Federal-Aid Project Number:

Bid Opening Date:

Bidder:

Type of Work:

Bid Amount:

From:**Subject: Evaluation of Good Faith Efforts**

The _____ established a Disadvantaged Business Enterprise (DBE) goal of _____ percent for this [contract](#). The bidder [commits](#) [count only qualifying DBE participation] _____ percent DBE participation.

The _____'s evaluation of the apparent low bidder's Good Faith Efforts is based on [Section 9.9 of the Local Assistance Procedures Manual and Appendix A in Part 26 of Title 49 of the Code of Federal Regulations](#). The efforts of the bidder were reviewed by the _____ from the information provided in Exhibit 15-H: [Proposer/Contractor Good Faith Efforts](#) signed and submitted by _____.

The _____ determined that the Low Bidder _____ demonstrate Good Faith Efforts to meet the DBE participation goal of this project for the reasons cited in this evaluation.

EVALUATION**A. Items of work the bidder made available to DBE firms****B. Solicitation effort documentation**

**Use the California Unified Certification Program online database at:
<https://dot.ca.gov/programs/business-and-economic-opportunity/dbe-search>**

C. Rejected DBE documentation

D. Publication effort made to advertise the projects to include DBE participation

E. Agencies, organizations, or groups contacted to provide assistance in contacting, recruiting and using DBEs

F. Efforts to provide information about the plans, specifications, and contract requirements

G. Assistance with bonding, lines of credit, insurance, equipment, supplies, materials, and/or services

H. Additional data to support a demonstration of Good Faith Effort

FINDING OF THE

The _____ finds that the Low Bidder [did or did not] demonstrate Good Faith Efforts to meet the DBE contract [goal](#) for the reasons cited in [this](#) evaluation.

Submitted by:

Approved by:

*Must be approved by someone other than the reviewer.

EXHIBIT 15-G CONSTRUCTION CONTRACT DBE COMMITMENT

1. Local Agency: _____ 2. Contract DBE Goal: _____
 3. Project Description: _____
 4. Project Location: _____
 5. Bidder's Name: _____ 6. Prime Certified DBE: 7. Bid Amount: _____
 8. Total Dollar Amount for **ALL** Subcontractors: _____ 9. Total Number of **ALL** Subcontractors: _____

10. Bid Item Number	11. Description of Work, Service, or Materials Supplied	12. DBE Certification Number	13. DBE Contact Information (Must be certified on the date bids are opened)	14. DBE Dollar Amount

Local Agency to Complete this Section upon Execution of Award		15. TOTAL CLAIMED DBE PARTICIPATION	%
21. Local Agency Contract Number: _____			
22. Federal-Aid Project Number: _____		<p>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable with the names and items of the work in the "Subcontractor List" submitted with your bid. Written confirmation of each listed DBE is required.</p>	
23. Bid Opening Date: _____			
24. Contract Award Date: _____			
25. Award Amount: _____			
<p>Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.</p>		<p>_____</p>	
26. Local Agency Representative's Signature _____	27. Date _____	16. Preparer's Signature _____	17. Date _____
28. Local Agency Representative's Name _____	29. Phone _____	18. Preparer's Name _____	19. Phone _____
30. Local Agency Representative's Title _____		20. Preparer's Title _____	

- DISTRIBUTION: 1. Original – Local Agency
 2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in de-obligation of federal funds on contract.
 3. Include additional copy with award package.

ADA Notice: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

INSTRUCTIONS – CONSTRUCTION CONTRACT DBE COMMITMENT

CONTRACTOR SECTION

- 1. Local Agency** - Enter the name of the local agency that is administering the contract.
- 2. Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
- 3. Project Location** - Enter the project location(s) as it appears on the project advertisement.
- 4. Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
- 5. Bidder's Name** - Enter the contractor's firm name.
- 6. Prime Certified DBE** - Check box if prime contractor is a certified DBE.
- 7. Bid Amount** - Enter the total contract bid dollar amount for the prime contractor.
- 8. Total Dollar Amount for ALL Subcontractors** – Enter the total dollar amount for all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
- 9. Total number of ALL subcontractors** – Enter the total number of all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
- 10. Bid Item Number** - Enter bid item number for work, services, or materials supplied to be provided.
- 11. Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime contractor's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
- 12. DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
- 13. DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted contractors. Also, enter the prime contractor's name and phone number, if the prime is a DBE.
- 14. DBE Dollar Amount** - Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime contractor if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
- 15. Total Claimed DBE Participation** - \$: Enter the total dollar amounts entered in the "DBE Dollar Amount" column. %: Enter the total DBE participation claimed ("Total Claimed DBE Participation Dollars" divided by item "Bid Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
- 16. Preparer's Signature** - The person completing the DBE commitment form on behalf of the contractor's firm must sign their name.
- 17. Date** - Enter the date the DBE commitment form is signed by the contractor's preparer.
- 18. Preparer's Name** - Enter the name of the person preparing and signing the contractor's DBE commitment form.
- 19. Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
- 20. Preparer's Title** - Enter the position/title of the person signing the contractor's DBE commitment form.

LOCAL AGENCY SECTION

- 21. Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
- 22. Federal-Aid Project Number** - Enter the Federal-Aid Project Number(s).
- 23. Bid Opening Date** - Enter the date contract bids were opened.
- 24. Contract Award Date** - Enter the date the contract was executed.
- 25. Award Amount** – Enter the contract award amount as stated in the executed contract.
- 26. Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Contractor Section of this form is complete and accurate.
- 27. Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
- 28. Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the contractor's DBE commitment form.
- 29. Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.

30. Local Agency Representative Title - Enter the position/title of the Local Agency Representative certifying the contractor's DBE commitment form.

Final Report-Utilization of Disadvantaged Business Enterprises (DBE) and First-Tier Subcontractors

EXHIBIT 17-F FINAL REPORT-UTILIZATION OF DISADVANTAGED BUSINESS ENTERPRISES (DBE) AND FIRST-TIER SUBCONTRACTORS

1. Local Agency Contract Number		2. Federal-Aid Project Number		3. Local Agency			4. Contract Completion Date		
5. Contractor/Consultant			6. Business Address				7. Final Contract Amount		
8. Contract Item Number	9. Description of Work, Service, or Materials Supplied	10. Company Name and Business Address	11. DBE Certification Number	12. Contract Payments		13. Date Work Completed	14. Date of Final Payment		
				Non-DBE	DBE				
15. ORIGINAL DBE COMMITMENT AMOUNT \$ _____				16. TOTAL					

List all first-tier subcontractors/subconsultants and DBEs regardless of tier whether or not the firms were originally listed for goal credit. If actual DBE utilization (or item of work) was different than that approved at the time of award, provide comments on an additional page. List actual amount paid to each entity. If no subcontractors/subconsultants were used on the contract, indicate on the form.

I CERTIFY THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT							
17. Contractor/Consultant Representative's Signature		18. Contractor/Consultant Representative's Name		19. Phone		20. Date	
I CERTIFY THAT THE CONTRACTING RECORDS AND ON-SITE PERFORMANCE OF THE DBE(S) HAS BEEN MONITORED							
21. Local Agency Representative's Signature		22. Local Agency Representative's Name		23. Phone		24. Date	

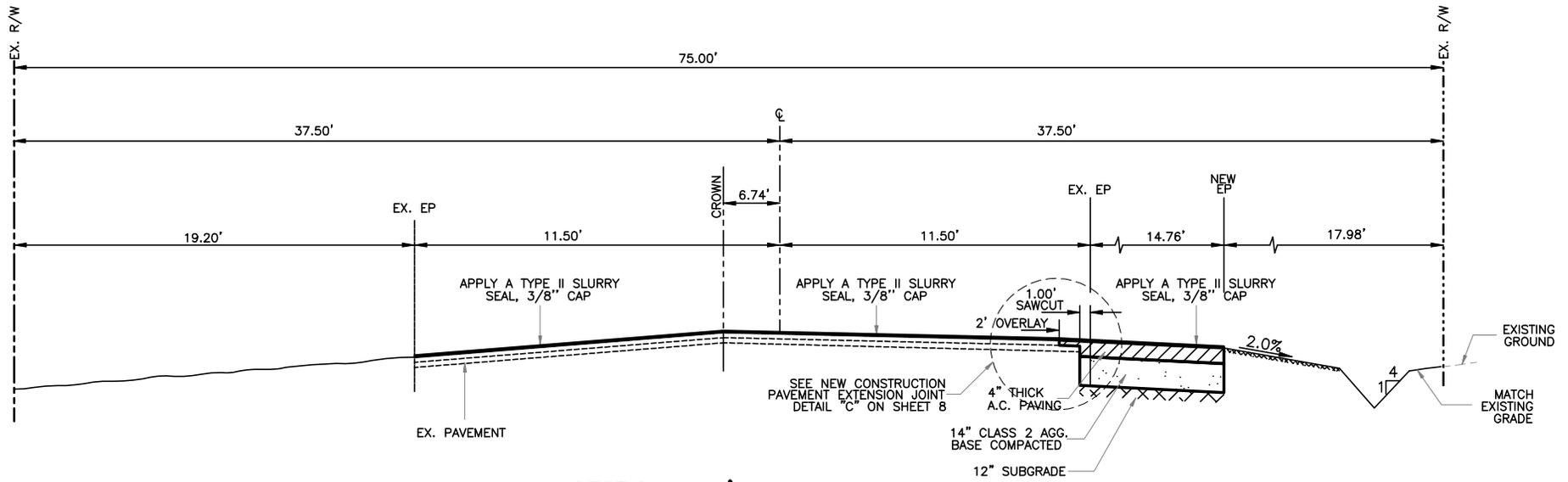
DISTRIBUTION: Original – Local Agency, Copy – Caltrans District Local Assistance Engineer. Include with Final Report of Expenditures

ADA NOTICE: For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, Local Assistance Procedures Manual TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

INSTRUCTIONS – FINAL REPORT-UTILIZATION OF DISADVANTAGED BUSINESS ENTERPRISES (DBE) AND FIRST-TIER SUBCONTRACTORS

- 1. Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
- 2. Federal-Aid Project Number** - Enter the Federal-Aid Project Number.
- 3. Local Agency** - Enter the name of the local or regional agency that is funding the contract.
- 4. Contract Completion Date** - Enter the date the contract was completed.
- 5. Contractor/Consultant** - Enter the contractor/consultant's firm name.
- 6. Business Address** - Enter the contractor/consultant's business address.
- 7. Final Contract Amount** - Enter the total final amount for the contract.
- 8. Contract Item Number** - Enter contract item for work, services, or materials supplied provided. Not applicable for consultant contracts.
- 9. Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials provided. Indicate all work to be performed by DBEs including work performed by the prime contractor/consultant's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
- 10. Company Name and Business Address** - Enter the name, address, and phone number of all subcontracted contractors/consultants. Also, enter the prime contractor/consultant's name and phone number, if the prime is a DBE.
- 11. DBE Certification Number** - Enter the DBE's Certification Identification Number. Leave blank if subcontractor is not a DBE.
- 12. Contract Payments** - Enter the subcontracted dollar amount of the work performed or service provided. Include the prime contractor/consultant if the prime is a DBE. The Non-DBE column is used to enter the dollar value of work performed by firms that are not certified DBE or for work after a DBE becomes decertified.
- 13. Date Work Completed** - Enter the date the subcontractor/subconsultant's item work was completed.
- 14. Date of Final Payment** - Enter the date when the prime contractor/consultant made the final payment to the subcontractor/subconsultant for the portion of work listed as being completed.
- 15. Original DBE Commitment Amount** - Enter the "Total Claimed DBE Participation Dollars" from Exhibits 15-G or 10-O2 for the contract.
- 16. Total** - Enter the sum of the "Contract Payments" Non-DBE and DBE columns.
- 17. Contractor/Consultant Representative's Signature** - The person completing the form on behalf of the contractor/consultant's firm must sign their name.
- 18. Contractor/Consultant Representative's Name** - Enter the name of the person preparing and signing the form.
- 19. Phone** - Enter the area code and telephone number of the person signing the form.
- 20. Date** - Enter the date the form is signed by the contractor's preparer.
- 21. Local Agency Representative's Signature** - A Local Agency Representative must sign their name to certify that the contracting records and on-site performance of the DBE(s) has been monitored.
- 22. Local Agency Representative's Name** - Enter the name of the Local Agency Representative signing the form.
- 23. Phone** - Enter the area code and telephone number of the person signing the form.
- 24. Date** - Enter the date the form is signed by the Local Agency Representative.

ADDENDUM # 2



SECTION A-A'
TYPICAL CROSS SECTION CLARK ROAD
 NO SCALE

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 <p>LC ENGINEERING CONSULTANTS INC. CIVIL ENGINEERING • LAND SURVEYING • CONSTRUCTION MANAGEMENT SERVICES</p> <p>1065 State Street El Centro CA 92243</p> <p>www.lcec-inc.com tel 760.353.8110 info@lcec-inc.com fax 760.352.6408</p>	<p>TYPICAL CROSS SECTION CLARK ROAD</p> <p>CITY OF IMPERIAL IMPROVEMENT PLANS 2020 OVERLAY IMPROVEMENTS PROJECT AT THE INTERSECTION OF ATEN BLVD. & CLARK RD.</p>	<p>SHEET</p> <p>1 OF 1 SHEETS</p> <p>JOB NO. C19034-00</p>
	<p>DATE: JULY 17, 2020</p>	